

## REVOLUTION IN MODERN CHINA

History 461B/3 section 01

1996/97

Instructor: Dr. Martin Singer

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Office Hours: Wednesdays, 14:00-16:00 and by appointment

Class Hours: Wednesdays, 16:00-18:00 Room: VE-328 (Loyola)

**Course Description:** This undergraduate seminar will focus on the three stages of the Chinese revolution (Taiping, Nationalist and Communist); and on the revolutionary transformation of China after 1949. It will provide the advanced student with the opportunity for directed reading and writing, group discussion and individual research under the supervision of the instructor.

### Responsibilities of Students:

1. **Historiography** -- Each student is expected to read one pre-assigned book or article per week; to prepare weekly a one page computer-printed precis of the material; and to submit a short historiographical essay (to which the precis will be appended) no later than Wednesday March 26, 1997. Late papers will be subject to an escalating grade penalty.

2. **Discussion** -- Each student is expected to participate actively and constructively in weekly seminar discussions in accordance with the schedule provided.

3. **Research**-- Each student is expected to prepare, working on a tutorial basis, an essay of approximately 20 computer-printed pages in length on a theme chosen in consultation with the instructor. Deadline for submission of final essays is February 14, 1997. Late papers will be subject to an escalating grade penalty.

**Course Materials:** The student is not expected to purchase textbooks for this course, although students may wish to consult Hsu, *The Rise of Modern China* or Spence, *The Search for Modern China* for reference purposes. In general, course materials will be made

available through the Vanier Library Reserve Desk. On the other hand, students are expected to bear the cost of photocopying their weekly precis for distribution to other seminar members.

**Grading:** There are no formal examinations in this course. The final grade for each student will be based on the fulfillment of the "responsibilities" described above as follows:

- |        |        |        |
|--------|--------|--------|
| 1. 40% | 2. 20% | 3. 40% |
|--------|--------|--------|

### Schedule of Meetings and Readings

#### Sept. 4 Course Orientation

#### Sept. 11 Definitions

Ritter, *Dictionary of Concepts in History*, pp. 212-216, 223-228, 273-277, 294-299, 388-393

#### Sept. 18 Traditional China

Ropp, *Heritage of China: Contemporary Perspectives on Chinese Civilization*

#### Sept. 25 The Opium War and Its Aftermath, 1800-1860

Chang, *Commissioner Lin and the Opium War*

Fairbank, *Trade and Diplomacy on the China Coast: The Opening of the Treaty Ports, 1842-1854*

Greenberg, *British Trade and the Opening of China, 1800-1842*

Wakeman, *Strangers at the Gate: Social Disorder in South China, 1839-1861*

Waley, *The Opium War Through Chinese Eyes*

*The Cambridge History of China*, vol 10:

Fairbank, "The Creation of the Treaty System"

Wakeman, "The Canton Trade and the Opium War"

#### Oct. 2 The Taiping Movement, 1850-1864

Gregory, *Great Britain and the Taipings*

Jen, *The Taiping Revolutionary Movement*

Michael, *The Taiping Rebellion: History and Documents*, v. 1

Shih, *The Taiping Ideology: Its Sources, Interpretations and Influences*

Spence, *God's Chinese Son*

Teng, *The Taiping Rebellion and the Western Powers: A Comprehensive Survey*

*The Cambridge History of China*, vol 10:  
Jones & Kuhn, "Dynastic Decline and the Roots of Rebellion"  
Kuhn, "The Taiping Rebellion"

**Oct. 9      Dynastic Restoration and Self-Strengthening, 1860-1895**

Biggerstaff, *The Earliest Modern Government Schools in China*  
Feuerwerker, *China's Early Industrialization: Sheng Hsuan-huai (1844-1916) and Mandarin Enterprise*

Spector, *Li Hung-chang and the Huai Army: Study in Nineteenth Century Chinese Regionalism*

Teng & Fairbank, *China's Response to the West: A Documentary Survey, 1839-1923*

Wright, *The Last Stand of Chinese Conservatism: The T'ung-chih Restoration, 1862-1874*

*The Cambridge History of China*, vol 10:  
Kuo, "Self-strengthening; the Pursuit of Western Technology"  
Liu, "The Ch'ing Restoration"

*The Cambridge History of China*, vol 11  
Feuerwerker, "Economic Trends in the Late Ch'ing Empire 1870-1911"

**Oct. 16      Foreign Relations in the Late Ch'ing Period, 1860-1895**

Cohen, *China and Christianity: The Missionary Movement and the Growth of Chinese Anti-foreignism, 1860-1870*

Conroy, *The Japanese Seizure of Korea, 1868-1910: A Study of Realism and Idealism in International Relations*

Eastman, *Throne and Mandarins: China's Search for a Policy during the Sino-French Controversy, 1880-1885*

Hsu, *China's Entrance into the Family of Nations: The Diplomatic Phase, 1858-1880*

Pelcovitz, *Old China Hands and the Foreign Office*

*The Cambridge History of China*, vol 10:  
Cohen, "Christian missions and their impact to 1900"  
Fletcher "Sino-Russian Relations, 1800-62"

*The Cambridge History of China*, vol 11:  
Hao & Wang, "Changing Chinese Views of Western relations, 1840-95"

Hsu, "Late Ch'ing foreign relations, 1866-1905"

Liu & Smith, "The military challenge"

**Oct. 23 Radical Reform and Intellectual Change in Late Ch'ing**

Cameron, *The Reform Movement in China, 1898-1912*  
Cohen & Schrecker, *Reform in Nineteenth Century China*  
Levenson, *Confucian China and Its Modern Fate*, vol. 1  
Levenson, *Liang Ch'i-ch'ao and the Mind of Modern China*  
Schwartz, *In Search of Wealth and Power: Yen Fu and the West*

The Cambridge History of China, vol 11:  
Chang, "Intellectual change and the reform movement, 1890-8"

**Oct. 30 Reactionary Anti-foreignism in Late Ch'ing China**

Esherick, *The Origins of the Boxer Uprising*  
Purcell, *The Boxer Uprising*  
Schrecker, *Imperialism and Chinese Nationalism: Germany in Shantung*  
Tan, *The Boxer Catastrophe*  
Wehrle, *Britain, China and the antimissionary riots, 1891-1900*

**Nov. 6 Revolutionary Nationalism in Late Ch'ing China**

Gasster, *Chinese Intellectuals and the Revolution of 1911*  
Jansen, *The Japanese and Sun Yat-sen*  
Schiffirin, *Sun Yat-sen and the Origins of the Chinese Revolution*  
Sharman, *Sun Yat-sen: His Life and Its Meaning*  
Wright, *China in Revolution: The First Phase, 1900-1913*

The Cambridge History of China, vol 11:  
Gasster, "The republican revolutionary movement"  
Jansen, "Japan and the Chinese Revolution of 1911"

**Nov. 13 The Fall of the Ch'ing Dynasty, 1900-1911**

Ayers, *Chih-tung and Educational Reform in China*  
Bays, *China Enters the Twentieth Century: Chang Chih-tung and the Issues of a New Age, 1895-1909*  
Franke, *The Reform and Abolition of the Traditional Chinese Examination System*  
Powell, *The Rise of Chinese Military Power, 1895-1912*  
Warner, *The Dragon Empress: The Life and Times of Tzu-hsi, Empress Dowager of China, 1835-1908*

The Cambridge History of China, vol 11:  
Bastid-Bruguiere, "Currents of Social Change"  
Chan, "Government, merchants and industry to 1911"  
Ichiko, "Political and Institutional Reform, 1901-11"

#### **Nov. 20 Republican China: the Early Years**

Ch'en, Yuan Shih-k'ai, 1859-1916: *Brutus Assumes the Purple*  
Gillin, Warlord Yen Hsi-shan in Shansi Province, 1911-1949  
Nathan, *Peking Politics, 1918-1923: Factionalism and the Failure of Constitutionalism*  
Sheridan, *Chinese Warlord: the Career of Feng Yu-hsiang*  
Yu, *Party Politics in Republican China: Kuomintang, 1912-24*

*The Cambridge History of China*, vol 12:  
Bergere, "The Chinese bourgeoisie, 1911-1937"  
Feuerwerker, "The Foreign Presence in China"  
Nathan, "A constitutional republic: the Peking government, 1916-28"  
Sheridan, "The warlord era: politics and militarism under the Peking government, 1916-28"  
Young, "Politics in the aftermath of revolution: the Era of Yuan Shih-k'ai, 1912-16"

#### **Nov. 27 The May Fourth Movement, 1915-24**

Chen, *The May Fourth Movement in Shanghai: The Making of a Social Movement in Modern China*  
Chow, *The May Fourth-Movement: Intellectual Revolution in Modern China*  
Grieder, *Hu Shih and the Chinese Renaissance: Liberalism in the Chinese Revolution, 1917-1937*  
Meisner, *Li Ta-chao and the Origins of Chinese Marxism*  
Schwartz, *The Chinese Enlightenment: Intellectuals and the Legacy of the May Fourth Movement of 1919*

*The Cambridge History of China*, vol 12:  
Furth, "Intellectual change: from the Reform movement to the May Fourth movement, 1895-1920"  
Lee, "Literary trends I: the quest for modernity, 1895-1927"  
Schwartz, "Themes in Intellectual History: May Fourth and after"

#### **Jan. 8 Sino-Soviet Relations and the Birth of Chinese Communism**

Brandt, *Stalin's Failure in China, 1924-27*  
North, *Moscow and Chinese Communists*  
Schwartz, *Chinese Communism and the Rise of Mao*  
Whiting, *Soviet Policies in China, 1917-24*  
Wilbur and How, *Documents on Communism, Nationalism, and Soviet Advisers in China, 1918-1927: Papers Seized in the 1927 Peking Raid*

*The Cambridge History of China*, vol 12:  
Ch'en, "The Chinese Communist Movement to 1927"  
Wilbur, "The Nationalist Revolution: from Canton to Nanking, 1923-28"

**Jan. 15    The Kuomintang in Power, 1927-1937**

Ch'ien, *The Government and Politics of China, 1912-1949*  
Eastman, *The Abortive Revolution: China Under Nationalist Rule, 1927-1937*  
Israel, *Student Nationalism in China, 1927-1937*  
Sih, *The Strenuous Decade: China's Nation-Building Efforts, 1927-1937*  
Tien, *Government and Politics in Kuomintang China, 1927-1937*

*The Cambridge History of China, vol 12-*  
Feuerwerker, "Economic trends, 1912-49"

*The Cambridge History of China, vol 13:*  
Bianco, "Peasant Movements"  
Eastman, "Nationalist China during the Nanking Decade, 1927-1937"  
Kuhn, "The development of local government"  
Lee, "Literary Trends: the road to revolution, 1927-1949"  
Myers, "The agrarian system"  
Sun, "The growth of the academic community, 1912-1949"

**Jan. 22    The Chinese Communist Party, 1927-1936**

Brandt, Schwartz & Fairbank, *A Documentary History of Chinese Communism*  
Kim, *The Politics of Chinese Communism: Kiangsi under the Soviets*  
Rue, *Mao Tse-tung in Opposition, 1927-1935*  
Thornton, *The Comintern and Chinese Communists, 1928-1931*  
Wilson, *The Long March 1935: The Story of Chinese Communism's Survival*

*The Cambridge History of China, vol 13*  
Ch'en, "The Communist movement 1927-1937"

**Jan. 29    Sino-Japanese Conflict, 1931-1945**

Bisson, *Japan in China*  
Boyle, *China and Japan at War, 1937-1945: The Politics of Collaboration*  
Bunker, *The Peace Conspiracy: ~ Ch'ing-wei and the China War, 1937-1941*  
Rosinger, *China's Wartime Politics, 1937-1944*  
Tuchman, *Stilwell and the American Experience in China*

*The Cambridge History of China, vol 13:*  
Eastman, "Nationalist China during the Sino-Japanese War, 1937-1945"  
Eto, "China's international relations, 1911-1931"

Iriye, "Japanese aggression and China's international position,  
1931-1949"

**Feb. 5      The Chinese Communist Party in Yen-an, 1936-1945**

Johnson, *Peasant Nationalism and Communist Power: The Emergence  
of Revolutionary China 1937-1945*

Schram, *The Political Thought of Mao Tse-tung*

Selden, *The Yen-an Way in Revolutionary China*

Snow, *Red Star Over China*

Van Slyke, *Enemies and Friends: The United Front in Chinese  
Communist History*

The Cambridge History of China, vol 13:

Schram, "Mao Tse-tung's Thought to 1949"

Van Slyke, "The Chinese Communist movement during the  
Sino-Japanese War, 1937-1945"

**Feb. 12      Civil War in China, 1945-1949**

Bodde, *Peking Diary*

Loh, *The Kuomintang Debacle of 1949: Conquest or Collapse*

Melby, *The Mandate of Heaven Record of a Civil War, China  
1945-49*

Pepper, *Civil War in China: The Political Struggle*

Tsou, *America's Failure in China, 1941-1950*

The Cambridge History of China, vol 13:

Pepper, "The KMT-CCP conflict, 1945-1949"

**Feb. 19      Mid-term Break**

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**Feb. 26 Revolutionary China: Leadership**

Bartke, *Who's Who in the People's Republic of China*

Boorman, *Biographical Dictionary of Republican China*

Klein & Clark, *Biographic Dictionary of Chinese Communism,  
1921-1965*

Li, *The Private Life of Chairman Mao*

Schram, *Mao Tse-tung*

Wilson, *Mao Tse-tung in the Scales of History*

**Mar. 12 Revolutionary China: Politics and Ideology**

Harding, *Organizing China: The Problems of Bureaucracy,  
1949-1976*

Hinton, *An Introduction to Chinese Politics*

Schurmann, *Ideology and Organization in Communist China*

Solomon, *Mao's Revolution and the Chinese Political Culture*  
Townsend, *Political Participation in Communist China*

*The Cambridge History of China*, vol 14:  
Teiwes, "Establishment and consolidation of the new regime"

*The Cambridge History of China*, vol 15:  
Fokkema, "Creativity and Politics"  
Harding, "The Chinese state in crisis"  
MacFarquhar, "The succession to Mao and the end Maoism"  
Schram, "Mao Tse-tung's thought from 1949 to 1976"

### **Mar. 19 Revolutionary China: Society**

Bernstein, *Up to the Mountains and Down to the Villages: the Transfer of Youth from Urban to Rural China*  
Frolic, *Mao's People: Sixteen Portraits of Life in Revolutionary China*  
Hinton, *Fanshen - A Documentary of Revolution in a Chinese Village*  
Wolf, *Revolution Postponed: Women in Contemporary China*  
Yang, *The Chinese Family in the Communist Revolution*

*The Cambridge History of China*, vol 14  
Goldman, "The Party and the intellectuals"  
Goldman, "The Party and the intellectuals: phase two"  
Pepper, "Education for the New Order"  
Pepper, "New directions in education"

*The Cambridge History of China*, vol 15:  
Birch, "Literature under communism"  
Madsen, "The countryside under communism"  
Pepper, "Education"  
Whyte, "Urban life in the People's Republic"

### **Mar. 26 Revolutionary China: Economy**

Eckstein, *China's Economic Revolution*  
Eckstein, *Communist China's Economic Growth and Foreign Trade*  
Lardy, *Agriculture in China's Modern Economic Development*  
MacFarquhar, *Origins of the Cultural Revolution 2: The Great Leap Forward, 1958-1960*  
Richman, *Industrial Society in Communist China*

*The Cambridge History of China*, vol 14:  
Lardy, "Economic recovery and the 1st Five-Year Plan"  
Lardy, "The Chinese economy under stress, 1958-1965"  
Lieberthal, "The Great Leap Forward and the split in the Yanan leadership"

*The Cambridge History of China*, vol 15:  
Perkins, "China's economic policy and performance"

#### **April 2 Revolutionary China: Foreign Relations**

Hinton, *China's Turbulent Quest: An Analysis of China's Foreign Relations Since 1949*  
Larkin, *China and Africa, 1949-1970: The Foreign Policy of the People's Republic of China*  
Van Ness, *Revolution and Chinese Foreign Policy: Peking's Support for Wars of National Liberation*  
Whiting, *China Crosses the Yalu: The Decision to Enter the Korean War*  
Zagoria, *The Sino-Soviet Conflict, 1956-1961*

*The Cambridge History of China*, vol 14:  
Nakajima, "Foreign relations: from the Korean War to the Bandung Line"  
Whiting, "The Sino-Soviet split"

*The Cambridge History of China*, vol 15:  
Pollack, "The opening to America"  
Robinson, "China confronts the Soviet Union: warfare and diplomacy on China's Inner Asian frontiers"

#### **Apr. 9 Perspectives on Revolution in Modern China**